Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER GMH/422/PC/US

| INTERNATIONAL APPLICATION NO.  | INTERNATIONAL FILING DATE   | PRIORITY DATE CLAIMED                           |  |  |  |  |  |  |  |
|--|---|---|--|--|--|--|--|--|--|
| PCT/EP2003/008823 TITLE OF INVENTION   |   |   |  |  |  |  |  |  |  |
| Electrode Cooling Device   |   |   |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US  Uwe Kark  |   |   |  |  |  |  |  |  |  |
| Applicant herewith submits to the United Sta   | ates Designated/Elected Office (DO/EC   | /US) the following items and other information: |  |  |  |  |  |  |  |
| 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  |   |   |  |  |  |  |  |  |  |
| This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.  |   |   |  |  |  |  |  |  |  |
| 3. X This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |   |  |  |  |  |  |  |  |
| 4. X The US has been elected (Article 31).   | X The US has been elected (Article 31).   |   |  |  |  |  |  |  |  |
| 5. A copy of the International Application   | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |   |  |  |  |  |  |  |  |
| a. X is attached hereto (required  | a. X is attached hereto (required only if not communicated by the International Bureau).                      |   |  |  |  |  |  |  |  |
| b.  has been communicated by   | b. has been communicated by the International Bureau.   |   |  |  |  |  |  |  |  |
| c. is not required, as the applic  | c. is not required, as the application was filed in the United States Receiving Office (RO/US).               |   |  |  |  |  |  |  |  |
| 6. An English language translation of the  | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).              |   |  |  |  |  |  |  |  |
| a. X is attached hereto.   | a. X is attached hereto.  |   |  |  |  |  |  |  |  |
| b. has been previously submit  | b. has been previously submitted under 35 U.S.C. 154(d)(4).   |   |  |  |  |  |  |  |  |
| 7. Amendments to the claims of the Inte  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))          |   |  |  |  |  |  |  |  |
| a. are attached hereto (require  | a. are attached hereto (required only if not communicated by the International Bureau).                       |   |  |  |  |  |  |  |  |
| b. have been communicated  | b. have been communicated by the International Bureau.  |   |  |  |  |  |  |  |  |
| c. have not been made; how   | c. have not been made; however, the time limit for making such amendments has NOT expired.                    |   |  |  |  |  |  |  |  |
| d. $oxed{X}$ have not been made and v  | d. X have not been made and will not be made.   |   |  |  |  |  |  |  |  |
| 8. An English language translation of th   | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |   |  |  |  |  |  |  |  |
| 9. $\overline{X}$ An oath or declaration of the inventor   | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |   |  |  |  |  |  |  |  |
| 10. X An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).   |   |   |  |  |  |  |  |  |  |
| Items 11 to 20 below concern document(s) or information included:  |   |   |  |  |  |  |  |  |  |
| 11. X An Information Disclosure Statement  | under 37 CFR 1.97 and 1.98.   |   |  |  |  |  |  |  |  |
| 12. X An assignment document for recording   | g. A separate cover sheet in compliance wi  | th 37 CFR 3.28 and 3.31 is included.            |  |  |  |  |  |  |  |
| 13. A preliminary amendment.   |   |   |  |  |  |  |  |  |  |
| 14. An Application Data Sheet under 37 (   | CFR 1.76.   | ·   |  |  |  |  |  |  |  |
| 15. A substitute specification.  |   | ·   |  |  |  |  |  |  |  |
| 16. A power of attorney and/or change of   | A power of attorney and/or change of address letter.  |   |  |  |  |  |  |  |  |
| 17. A computer-readable form of the sequ   | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. |   |  |  |  |  |  |  |  |
| 18. A second copy of the published Interr  | A second copy of the published International Application under 35 U.S.C. 154(d)(4).                           |   |  |  |  |  |  |  |  |
| 19. A second copy of the English language  | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). |   |  |  |  |  |  |  |  |
| 20. Other items or information:  | Other items or information:   |   |  |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 12-2004)
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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.   |  |   | ATTORNEY'S DOCKET NUMBER  |                 |                            |                 |
|---|--|---|---|-----------------|----------------------------|-----------------|
| U.S. APPLICATION NO. (if known see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/EP2003/008823  |  |   | GMH/422/PC/US   |                 |                            |                 |
| 21. The following fees are submitted:   |  |   | Applicant use   | Office use only |                            |                 |
| X a) Basic national fee\$300.00   |  |   | \$ 300.00   |                 |                            |                 |
| X b) Examination fee\$200.00  |  |   | \$ 200.00   |                 |                            |                 |
| X c) Search fee   |  |   | \$ 500.00   |                 |                            |                 |
| TOTAL OF ABOVE CALCULATIONS = \$1000.00   |  |   |   | \$1,000.00      |                            |                 |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |  |   |   |                 |                            |                 |
| Total Sheets  | Extra sheets   | Number of each additional 50 or fraction thereof (round up to a whole number) |   |                 |                            |                 |
| - 100 =   | /50 =  |   |   | × \$250.00      | \$                         |                 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  |  |   |   |                 | \$                         |                 |
| CLAIMS  | NUMBER FII   | LED   | NUMBER EXTRA  | RATE            | \$                         |                 |
| Total claims  | s 2  | 0-20=   | 0   | x \$50.00       | \$                         |                 |
| Independent cla   | ims  | 1 -3=   | 0   | x \$200.00      | \$                         |                 |
| MULTIPLE DEP  | MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00                       |   |   |                 | \$                         |                 |
| TOTAL OF ABOVE CALCULATIONS =   |  |   |   | \$ 1.000.00     |                            |                 |
| X Applicant of by 1/2.  | claims small entity status.  | See 37 CFR  | 1.27. The fees indicated above                                  | are reduced     | \$                         |                 |
| by 72.  |  |   |   |                 | \$ 500,00                  |                 |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest  |  |   |   |                 |                            |                 |
| claimed priority date (37 CFR 1.492(f)).  |  |   |   |                 | \$                         |                 |
| TOTAL NATIONAL FEE =  |  |   |   |                 | \$                         |                 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |  |   |   |                 | \$ 40.00                   |                 |
| TOTAL FEES ENCLOSED =   |  |   |   |                 | \$ 540,00                  |                 |
| Amount to be refunded:  |  |   |   |                 |                            | \$              |
| Amount to be charged  |  |   |   |                 |                            | \$              |
| a. X A che  | a. X A check in the amount of \$ 540.00 to cover the above fees is enclosed. |   |   |                 |                            |                 |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.  |  |   |   |                 |                            |                 |
| c. X  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-2563. A duplicate copy of this sheet is enclosed.  |  |   |   |                 |                            |                 |
| d. Fees a   | are to be charged to a cre   | dit card. WAR   | RNING: Information on this form d information and authorization | may become pul  | blic. Credit card informat | tion should not |
| NOTE: Where a   |  | t under 37 CF   | FR 1.495 has not been met; a                                    |                 | e (37 CFR 1.137(a) or (b)  | must be filed   |
|   | RRESPONDENCE TO:   |   | panang ommo.  | 0               | 0.0                        | 0               |
|   |  |   |   | <b>万</b> _      | NY                         |                 |
|   | Yale, Esq.<br>Yale & Ristas,   | מז.ן  |   | SIGNATURE       | A.                         |                 |
|   | in Street, Sui   |   | <b>)</b>  | Guy D.          | Yale, Esq.                 |                 |
|   | rd, Connecticu   |   |   | NAME            |                            |                 |
|   |  |   |   |                 | . 125<br>ON NUMBER         |                 |
| I   |  |   |   |                 |                            |                 |